

UNITED STATES DISTRICT COURT

EASTERN DISTRICT OF CALIFORNIA

KEITH HUTCHINSON,

CV F 02 6215 OWW SMS P

Plaintiff,

v.

FINDINGS AND RECOMMENDATIONS
RECOMMENDING SUMMARY JUDGMENT
MOTION BE GRANTED (Doc. 56 & 57)

MR. ALAMIEDA, et al.,

Defendants.

Keith Hutchinson ("Plaintiff") is a state prisoner proceeding pro se and in forma pauperis in this civil rights action filed pursuant to 42 U.S.C. § 1983.

A. PROCEDURAL HISTORY:

Plaintiff filed his Complaint on October 2, 2002. The Court screened Plaintiff's Complaint on November 26, 2002, and dismissed his Complaint with leave to amend because Plaintiff did not state a cognizable claim against Defendants Mr. Alamieda, Director of California Department of Corrections (CDC) and Dr. Steinberg, Deputy Director of Health Services at CDC. Specifically, the Court ordered Plaintiff to either file an Amended Complaint to cure deficiencies in his Complaint, or notify the Court to proceed only on those claims determined to be cognizable by the Court. Plaintiff chose not to file an Amended Complaint, and

1 instead, on January 2, 2003, he notified the Court he intended to proceed on the cognizable
2 Eighth Amendment and Americans with Disabilities Act (ADA) claims against Defendants
3 Pendleton, Chief Medical Officer (CMO) at Corcoran State Prison (CSP), Ortiz, Faculty Captain
4 at CSP, Stockman, Chief Deputy Warden at CSP, Cornforth, Physician at San Joaquin
5 Community Hospital (SJCH), Pineda, Physician at SJCH, Friedman, Physician and Pain
6 Specialist at CSP, Bhatt, Chief Physician and Surgeon at CSP, and Yee, Healthcare Manager at
7 CSP.

8 The Court issued Findings and Recommendations on May 19, 2003, and confirmed that
9 Plaintiff's original Complaint contained cognizable claims against Defendants Dr. Pendleton,
10 Mr. Ortiz, Mr. Stockman, Dr. Cornforth, Dr. Pineda, Dr. Friedman, Dr. Bhatt, and Dr. Yee, but
11 not Mr. Alamieda and Dr. Steinberg. By order of July 11, 2004, the Court directed the U.S.
12 Marshal to serve the original Complaint on Defendants Dr. Pendleton, Mr. Ortiz, Mr. Stockman,
13 Dr. Cornforth, Dr. Pineda, Dr. Friedman, Dr. Bhatt, and Dr. Yee.

14 On September 18, 2003, Defendant Dr. Pineda filed a Motion for a More Definite
15 Statement because he could not ascertain the claims Plaintiff brought against him, and could not
16 frame a proper response. Defendants filed a Motion to Dismiss on September 22, 2003, claiming
17 that Plaintiff failed to exhaust administrative remedies and failed to state a cognizable claim
18 against each Defendant. Plaintiff did not submit an Opposition to Defendants' Motions.

19 The Court issued Findings and Recommendations on May 28, 2004, and denied
20 Defendant Dr. Pineda's Motion for a More Definite Statement. The Court found that Plaintiff's
21 Complaint was not so vague and ambiguous that Defendant Dr. Pineda could not frame a
22 responsive pleading. The Court also denied Defendants' Motion to Dismiss for failure to exhaust
23 administrative remedies, finding Plaintiff had exhausted his administrative remedies. Moreover,
24 the Court denied Defendants Dr. Pendleton, Mr. Ortiz, Mr. Stockman, Dr. Cornforth, Dr. Pineda,
25 Dr. Friedman, Dr. Bhatt, and Dr. Yee's Motion to Dismiss for failure to state a claim.

26 On August 2, 2004, the District Court adopted the Court's Findings and
27 Recommendations. However, the District Court granted Defendants Mr. Ortiz and
28 Mr. Stockman's Motion to Dismiss for failure to state a claim, and gave Plaintiff thirty (30) days

1 to file an Amended Complaint to cure those deficiencies. Plaintiff never filed a response. On
2 September 22, 2004, the District Court ordered Plaintiff to show cause why the Complaint should
3 not be dismissed for failing to comply with the District Court's order in filing an Amended
4 Complaint. Plaintiff filed an Answer to the District Courts order on October 4, 2004, and
5 indicated he could find no information to cure the defect and would not file an Amended
6 Complaint.

7 The Court found Plaintiff's Answer addressed why he did not comply with the Order
8 dismissing with leave to amend on January 13, 2005, however, the Answer did not inform the
9 Court how to proceed at this stage. The Court granted Plaintiff one final allotment of time to
10 either submit an Amended Complaint curing the deficiencies of the Complaint, or inform the
11 Court that he did not intend to submit an Amended Complaint but wished to proceed against
12 those individuals whom the Court found the Complaint stated a claim for relief. On February 22,
13 2005, Plaintiff filed his intent to proceed on his original Complaint against only those Defendants
14 the Court found claims cognizable.

15 On April 4, 2005, the Court found that Defendants Dr. Pendleton, Dr. Cornforth,
16 Dr. Pineda, Dr. Friedman, Dr. Bhatt, and Dr. Yee did not file a responsive pleading against
17 Plaintiff, and ordered Defendants to respond to Plaintiff's Complaint within thirty (30) days. On
18 April 6, 2005, Defendants submitted an Answer to Plaintiff's Complaint denying each claim for
19 relief, and demanding that this case be tried by a jury. Pending before the Court is Defendants'
20 Motion for Summary Judgment and their Statement of Undisputed Facts, both filed on
21 June 15, 2006. Plaintiff did not file an Opposition to Defendant's Motion for Summary
22 Judgment.

23 **B. SUMMARY JUDGMENT STANDARD:**

24 Summary judgment is appropriate when it is demonstrated that there exists no genuine
25 issue as to any material fact, and that the moving party is entitled to judgment as a matter of law.
26 Fed. R. Civ. P. 56(c). Under summary judgment practice, the moving party

27 always bears the initial responsibility of informing the district court
28 of the basis for its motion, and identifying those portions of "the
pleadings, depositions, answers to interrogatories, and admissions

1 on file, together with the affidavits, if any,” which it believes
2 demonstrate the absence of a genuine issue of material fact.

3 Celotex Corp. v. Catrett, 477 U.S. 317, 323 (1986). “[W]here the nonmoving party will bear the
4 burden of proof at trial on a dispositive issue, a Summary Judgment Motion may properly be
5 made in reliance solely on the ‘pleadings, depositions, answers to interrogatories, and admissions
6 on file.’” Id. Indeed, summary judgment should be entered, after adequate time for discovery
7 and upon motion, against a party who fails to make a showing sufficient to establish the existence
8 of an element essential to that party’s case, and on which that party will bear the burden of proof
9 at trial. Id. at 322. “[A] complete failure of proof concerning an essential element of the
10 nonmoving party’s case necessarily renders all other facts immaterial.” Id. In such a
11 circumstance, summary judgment should be granted, “so long as whatever is before the district
12 court demonstrates that the standard for entry of summary judgment, as set forth in Rule 56(c), is
13 satisfied.” Id. at 323.

14 If the moving party meets its initial responsibility, the burden shifts to the opposing party
15 to establish that a genuine issue as to any material fact actually does exist. Matsushita Elec.
16 Indus. Co. v. Zenith Radio Corp., 475 U.S. 574, 586 (1986).

17 **C. SUMMARY OF COMPLAINT:**

18 In the Complaint, Plaintiff names several prison officials who are employed at CSP as
19 Defendants. Plaintiff states sometime in March 2000, he slipped and fell on eggs that were left
20 on the floor in the dining hall at CSP. As a result Plaintiff alleges he injured his left hand, left
21 arm, left shoulder, and back. After the fall, Plaintiff went to the emergency room at CSP to be
22 examined by a physician. Plaintiff states the physician informed him that his left hand was not
23 broken, and the swelling should decrease in the next few days. According to Plaintiff, the
24 physician said that an appointment with Dr. Embree, Neurologist at CSP, would be scheduled for
25 the following week.

26 Dr. Embree examined Plaintiff’s left hand the next week. After the examination,
27 Dr. Embree called Mr. Amador, a physical therapist at CSP. Dr. Embree and Mr. Amador
28 discussed Plaintiff’s hand and determined he appeared to have Reflex Sympathetic Dystrophy

1 Syndrome (RSDS). Dr. Embree and Mr. Amador both thought physical therapy would be
2 necessary for Plaintiff's hand to recover. Plaintiff alleges the physical therapy treatment did not
3 cure his RSDS. Furthermore, Plaintiff claims he was placed on various pain medications that did
4 little to ease his pain.

5 According to Plaintiff, Mr. Amador informed him that the physical therapy was not
6 helping his hand condition, and that he was going to recommend Dr. Embree perform surgery.
7 Plaintiff alleged after the physical therapy commenced, he never received follow up care. Thus,
8 Plaintiff submitted several health care service requests (HCSR) to the CDC requesting to be seen
9 by Dr. Embree. Plaintiff finally saw Dr. Embree in July 2000, and claims Dr. Embree informed
10 him that surgery for his hand condition was necessary. Dr. Embree wrote a recommendation for
11 Plaintiff to be transferred to California Men's Colony for neurological treatment.

12 Over a period of fourteen months, Plaintiff claims he never received surgery for his hand,
13 and was experiencing severe pain. Plaintiff submitted two 602 appeals requesting surgery, and
14 he alleges that Dr. Hoffman, Dr. Thirakomen, Defendant Dr. Yee, and Defendant Dr. Pendleton
15 each gave conflicting responses to his appeals. Plaintiff contends that the conflicting responses
16 were an inexcusable delay in giving him proper neurological treatment. Furthermore, Plaintiff
17 alleges that Defendants delays have caused him to obtain an acute case of RSDS, making his
18 RSDS not fully recoverable in the future.

19 Plaintiff eventually had surgery for his RSDS after having the condition for over
20 seventeen months. Plaintiff alleges he was informed by a physician who performed his first
21 surgery that he would need at least three to four more surgeries to correct his condition, and if the
22 surgeries did not work, something else could be tried. Plaintiff claims after he received his initial
23 surgery he submitted a request for another surgery, and Defendant Dr. Pendleton denied his
24 request as not being medically necessary because it would only provide temporary relief.

25 Plaintiff saw Defendant Dr. Pineda and he recommended that Plaintiff have surgery to
26 avoid losing his hand. Plaintiff contends that none of his questions about the surgery curing
27 RSDS were answered by Defendant Dr. Pineda. However, Plaintiff states that he agreed to
28 proceed with the surgery for fear of losing his hand.

1 On January 24, 2002, Plaintiff went in for a second surgical procedure. Prior to the
2 second procedure, Plaintiff claims he complained for over an hour about the severe burning in his
3 hand, and dry cracked skin that was slightly bleeding. Plaintiff saw treating physician Defendant
4 Dr. Cornforth. Plaintiff alleges that Defendant Dr. Cornforth informed him that there would be
5 no surgery because his hand condition had worsened. According to Plaintiff, Defendant Dr.
6 Cornforth thought that his first surgery was performed too late to treat his hand condition and
7 there was nothing he could do to cure his condition.

8 Plaintiff claims he was laid off his job after January 2002 because of his hand condition.
9 Plaintiff saw Dr. Vara, a neurologist at CSP, and he scheduled Plaintiff for an MRI, physical
10 therapy treatment, and to see Defendant Dr. Friedman. Plaintiff contends that his physical
11 therapy treatment, which ended in April 2002, did not help his hand condition. Plaintiff also
12 claims he had many scheduled appointments with Defendant Dr. Friedman that were cancelled
13 for no reason.

14 Plaintiff finally went to see Defendant Dr. Friedman, and he informed Plaintiff that his
15 condition was misdiagnosed because he did not have RSDS. Plaintiff claims he asked Defendant
16 Dr. Friedman about ways to cure his pain. Plaintiff alleges Defendant Dr. Friedman said that he
17 should cease taking pain medication and instead conduct self exercises to control the pain. A
18 few months later, Dr. Friedman discontinued Plaintiff's pain medication.

19 In September 2002, Plaintiff saw Defendant Dr. Bhatt because he had continued pain and
20 wished to renew his pain medication. During the visit, Plaintiff alleges that Defendant Dr. Bhatt
21 found he had RSDS, and that it was likely his hand condition would never heal. Defendant
22 Dr. Bhatt referred Plaintiff to see Defendant Dr. Friedman, however, he did not renew Plaintiff's
23 pain medication prescription. Plaintiff also alleges that his scheduled 45-day follow up
24 appointment with Defendant Dr. Friedman was cancelled.

25 Plaintiff claims on four separate occasions he was taken to a Unit Classification
26 Committee (UCC) hearing between August 2000 to May 2002. At the UCC hearings, Plaintiff
27 addressed his need for a transfer to another prison where he might receive adequate medical
28 treatment for his RSDS. Plaintiff alleges that when he raised his medical concerns at the

1 hearings, they were ignored and overlooked, even though he thought there was sufficient
2 documentation for a medical transfer.

3 According to Plaintiff, Defendant Dr. Yee delayed his medical appeal when he denied his
4 inmate grievances which complained that Plaintiff 's questions regarding his condition were not
5 answered. Plaintiff contends Defendant Dr. Yee failed to adequately supervise, train his staff,
6 and place procedures in order for Plaintiff to receive adequate medical care.

7 Plaintiff also contends Defendant Dr. Pendleton, was also made aware of Plaintiff
8 medical condition and lack of treatment through the CDC grievance process. Plaintiff claims
9 that Defendant Dr. Pendleton failed to adequately supervise, train staff, and place procedures in
10 order for Plaintiff to receive appropriate medical care. Finally, Plaintiff contends that Defendant
11 Dr. Bhatt failed to adequately supervise, train staff, and place procedures so that plaintiff would
12 receive adequate medical care.

13 Plaintiff argues that Defendants Dr. Pendleton, Dr. Cornforth, Dr. Pineda, Dr. Friedman,
14 Dr. Bhatt, and Dr. Yee, each violated his Eighth Amendment rights because they were
15 deliberately indifferent to his serious medical needs by failing to provide adequate care and
16 treatment for his RSDS. Plaintiff alleges that Defendants conduct caused him severe and
17 unnecessary pain, mental stress, and injury. Furthermore, Plaintiff claims that Defendants acts
18 have caused him to have a disability, which has resulted in a denial of access to prison programs,
19 services, and activities in violation of the Americans with Disabilities Act (§ 504). Finally,
20 Plaintiff alleges he is unable to participate in prison programs including vocation, work credits,
21 recreation, dining hall, yard time, and visiting. Plaintiff prays for the court to issue an injunction
22 compelling Defendants to provide Plaintiff with adequate medical treatment and monetary
23 damages, among other things.

24 **D. UNDISPUTED FACTS¹**

25 1. Plaintiff arrived at CSP on November 3, 1997. (Def.'s Statement of Undisputed Facts Ex.
26 _____)

27 ¹Plaintiff did not file an Opposition setting forth his Statement of Undisputed facts. Thus, the Court
28 compiled the list of undisputed facts from Defendants list of undisputed facts and the Plaintiff's verified Complaint. Verified pleading constitutes opposing affidavit for purposes of summary judgment rule. Jones v. Blanas, 393 F.3d 918, 923 (9th Cir. 2004).

1 A, p. 3.)

2 2. At all times relevant to this suit, Defendant Dr. Pendleton was the CMO at CSP. (Pl.'s
3 Dep. 34:23.; Pl.'s Compl. 4:18, 28, 8:9-10, 13-14, 9:15-17.)

4 3. Doctor Pendleton was not one of Plaintiff's treating physicians. (Pl.'s Dep. 33:7-8.)

5 4. Defendant Dr. Yee was not one of Plaintiff's treating physicians. (Pl.'s Dep. 69:23-25.)

6 5. Defendant Dr. Yee responded to a grievance filed by Plaintiff. (Id.)

7 6. Plaintiff's grievance concerned a missed medical appointment on October 26, 2000.
8 (Pl.'s Dep. 71:2-73:3.)

9 7. After reviewing Plaintiff's grievance, Defendant Dr. Yee had his appointment
10 rescheduled. (Pl.'s Dep. 72:3-73:7.)

11 8. Defendant Dr. Pineda is a physician at San Joaquin Community Hospital. (Pl.'s Dep.
12 47:21-22; Compl. 5:1-2.)

13 9. Defendant Dr. Cornforth is a physician at San Joaquin Community Hospital. (Pl.'s Dep.
14 47:21-22.)

15 10. At all times relevant to this suit, Defendant Dr. Friedman was a pain specialist at CSP.
16 (Pl.'s Dep. 37:3-7; Compl. 6:2-3.)

17 11. Defendant Dr. Bhatt is a physician at CSP. (Pl.'s Dep. 65:18; Compl. 9:21-26.)

18 12. On March 16, 2000, Plaintiff slipped on some eggs while working in the kitchen at CSP,
19 and injured his left arm as he fell to the ground (Pl.'s Dep. 8:17-25; Def.'s Statement of
20 Undisputed Facts Ex. C 1-5; Compl. 2:12-16.)

21 13. Plaintiff was treated in the Emergency Room at CSP. (Pl.'s Compl. 2:17-19.) Plaintiff
22 was prescribed medication and advised to return on March 21, 2000. (Def.'s Statement of
23 Undisputed Facts Ex. C 1, 3, 5; Pl.'s Compl. 2:22-24.)

24 14. On March 21, 2000, Plaintiff was examined by Dr. Embree. (Def.'s Statement of
25 Undisputed Facts Ex. C 5, 7-8; Pl.'s Dep. 13:8-15; Compl. 2:25-3:2.) Dr. Embree
26 prescribed medication and ordered a course of physical therapy. (Id.)

27 15. Plaintiff's physical therapy regimen included twice a month consultations, transcutaneous
28 electrical stimulation (TENS), and hot and cold packs. (Def.'s Statement of Undisputed

- 1 Facts Ex. C 8, 10, 12.)
- 2 16. Dr. Embree provided Plaintiff with a temporary diagnosis of Reflex Sympathetic
- 3 Dystrophy Syndrome (RSDS). (Def.'s Statement of Undisputed Facts Ex. C 8; Compl.
- 4 3:1-2.)
- 5 17. The prognosis for recovery varies significantly. (Def.'s Statement of Undisputed Facts
- 6 Ex. F. ¶ 9.) Certain individuals will experience spontaneous remission from these
- 7 symptoms. (Id.) Others will have unremitting pain despite excellent treatment. (Id.)
- 8 18. On May 3, 2000, an examination revealed that Plaintiff's swelling and skin changes had
- 9 decreased and his range of motion had improved. (Def.'s Statement of Undisputed Facts
- 10 Ex. C 15.) Plaintiff continued to suffer from pain in his left upper extremity. (Id.) The
- 11 frequency of his physical therapy sessions were increased to once a week. (Id.)
- 12 19. On June 1, 2000, Plaintiff was discharged from physical therapy after the benefits
- 13 provided by these sessions plateaued. (Def.'s Statement of Undisputed Facts Ex. C 20.)
- 14 20. On June 1, 2002, it was observed by Dr Embree that Plaintiff became emotional and
- 15 anxious regarding his medical condition. (Def.'s Statement of Undisputed Facts Ex. C
- 16 20.)
- 17 21. On June 29, 2000, Plaintiff was examined by Dr. Embree. (Def.'s Statement of
- 18 Undisputed Facts Ex. C 24.) Although he continued to experience pain, tenderness, and
- 19 skin changes in his left upper extremity, Dr. Embree observed that Plaintiff experienced
- 20 intermittent periods of relief using Neurontin. (Id.) Therefore, Dr. Embree increased
- 21 Plaintiff's dosage of Neurontin and advised him to return in two to three weeks. (Id.)
- 22 22. In July 2000, Plaintiff's treating physician, Dr. Embree, passed away. (Pl.'s Dep. 15:9-
- 23 10.)
- 24 23. For the next several months, Plaintiff continued to be examined by the medical staff at
- 25 CSP, who treated his pain with medication. (Def.'s Statement of Undisputed Facts Ex. C
- 26 25-29.) Darvocet and Elavil were added to this regimen on August 4, 2000. (Def.'s
- 27 Statement of Undisputed Facts Ex. C 26.)
- 28 24. Plaintiff was examined by Defendant Dr. Pineda at San Joaquin Community Hospital on

January 2, 2001. (Def.'s Statement of Undisputed Facts Ex. C 36, 38-39.) Defendant Dr. Pineda recommended continued medication, and an electrical study of the left hand. (Id.) Plaintiff was encouraged to continue using his left hand as physical activity is a primary treatment for RSDS. (Id.)

25. On January 2, 2001, Defendant Dr. Pineda first approached the possibility of performing a nerve block to help alleviate Plaintiff's symptoms. (Def.'s Statement of Undisputed Facts Ex. C 39.) Plaintiff was not interested in this course of treatment. (Id.) Defendant Dr. Pineda indicated Plaintiff should reconsider the procedure if the results of the electrical studies were negative. (Id.)

26. In February 2001, an electrical study was performed to rule out compressive neuropathy. Plaintiff's results were within normal limits. (Def.'s Statement of Undisputed Facts Ex. C 45.)

27. Plaintiff was reexamined by Defendant Dr. Pineda on May 29, 2001. He recommended treating Plaintiff's symptoms through increased medication and continued use of the extremity. (Def.'s Statement of Undisputed Facts Ex. C 45.)

28. At this time, Defendant Dr. Pineda advised Plaintiff there is no "quick fix or absolute cure" for RSDS. (Def.'s Statement of Undisputed Facts Ex. C 45.)

29. Once again, Defendant Dr. Pineda advised Plaintiff to consider a sympathetic nerve block. (Def.'s Statement of Undisputed Facts Ex. C 45.)

30. On August 17, 2001, an epidural steroid injection and nerve block was performed by Defendant Dr. Cornforth at San Joaquin Community Hospital. (Def.'s Statement of Undisputed Facts Ex. C 54-57.)

31. On August 17, 2001, Defendant Dr. Cornforth recommended two additional epidural injections which were scheduled for September 13 and 20, 2001. (Def.'s Statement of Undisputed Facts Ex. F. ¶ 16)

32. On August 17, 2001, the injection was performed by Defendant Dr. Cornforth without complication. (Def.'s Statement of Undisputed Facts Ex. F. ¶ 16.)

33. After complaining of increased pain and swelling Plaintiff was examined by Dr Friedman

and medicated for these symptoms on August 24, 2001. (Def.'s Statement of Undisputed Facts Ex. C 58.)

34. On October 24, 2001, Plaintiff was reexamined by Defendant Dr. Pineda. (Def.'s Statement of Undisputed Facts Ex. C 61.) Based upon these facts, Defendant Dr. Pineda recommended completing the series of injections. (Def.'s Statement of Undisputed Facts Ex. C 61.) He also recommended treating Plaintiff with medication and physical therapy. (Id.)

35. Defendant Dr. Cornforth did not control the date of Plaintiff's injection. (Pl.'s Dep. 47:20-48:3-5.)

36. On October 24, 2001, Defendant Dr. Pineda concluded that Plaintiff did not require any further neurological consultations. (Def.'s Statement of Undisputed Facts Ex. C 61.)

37. For the next several months, Plaintiff was examined by the medical staff at CSP and his symptoms were treated with medication. (Def.'s Statement of Undisputed Facts Ex. C 62-70)

38. From February to April 2002, Plaintiff participated in a course of physical therapy at CSP. These treatments included therapy twice a week, whirlpool treatments, warm water soaks, and TENS. (Def.'s Statement of Undisputed Facts Ex. C 71-74; Compl. 5:28-6:3.)

39. On May 30, 2002, Plaintiff was examined by Defendant Dr. Friedman. (Def.'s Statement of Undisputed Facts Ex. C 83.) After reviewing his medical history, Defendant Dr. Friedman observed that Plaintiff started taking Darvocet, then Elavil, and increased dosages of Neurontin without experiencing significant relief. (Def.'s Statement of Undisputed Facts Ex. C 83; Compl. 6:7-15.) Moreover, Plaintiff's nerve conduction studies and X-rays were negative, while an MRI performed in February 2002 revealed a mild central bulge. (Id.)

40. Defendant Dr. Friedman noted that Plaintiff has been diagnosed with major depression in 1999. (Def.'s Statement of Undisputed Facts Ex. C 83.) Furthermore, he had recently been placed on Prozac after being diagnosed with post traumatic stress disorder. (Id.)

41. Based upon these observations, Defendant Dr. Friedman concluded that Plaintiff was not

- 1 suffering from RSDS. (Compl. 6:7-11.) Instead, Defendant Dr. Friedman believed
2 Plaintiff was somatisizing. (Def.'s Statement of Undisputed Facts Ex. C 83.)
- 3 42. On May 30, 2002, Plaintiff was taking Neurontin, Elavil and Prozac. (Def.'s Statement of
4 Undisputed Facts Ex. C 83.)
- 5 43. Defendant Dr. Friedman recommended eliminating Darvocet and Neurontin. (Def.'s
6 Statement of Undisputed Facts Ex. C 83-85; Compl. 6:7-11.)
- 7 44. Dr. Friedman discontinued Plaintiff's Darvocet and Neurontin, because he did not want
8 him to become addicted to these medications. (Def.'s Statement of Undisputed Facts Ex.
9 C 83-85; Pl.'s Dep. 67:4-5.)
- 10 45. Dr. Friedman recommended continuing the prescription for Elavil and teaching Plaintiff
11 pain management skills, such as meditation and self-hypnosis, for his pain. (Def.'s
12 Statement of Undisputed Facts Ex. C 83-85; Compl. 6:12-15.)
- 13 46. Defendant Dr. Friedman also ordered intensive psychotherapy and continued Prozac.
14 (Def.'s Statement of Undisputed Facts Ex. C 83-85.)
- 15 47. On July 16, 2002, Plaintiff was examined by Defendant Dr. Friedman. (Def.'s Statement
16 of Undisputed Facts Ex. C 89.) Plaintiff reported that he was sleeping better and
17 experiencing increased strength in the upper left extremity. (Id.)
- 18 48. On September 10, 2002, Plaintiff reported to Defendant Dr. Bhatt with a swollen and
19 painful left hand. (Def.'s Statement of Undisputed Facts Ex. C 93; Compl. 6:23-26.)
20 Defendant Dr. Bhatt referred Plaintiff to a pain specialist, Defendant Dr. Friedman.
21 (Def.'s Statement of Undisputed Facts Ex. C 93.)
- 22 49. Defendant Dr. Bhatt did not renew Plaintiff's previous prescription for pain medications.
23 (Pl.'s Dep. 68:10-17; Compl. 7:5-6.)
- 24 50. On September 24, 2002, Plaintiff indicated that he felt much better, but still experienced
25 attacks of pain. (Def.'s Statement of Undisputed Facts Ex. C 95.) Defendant Dr. Bhatt
26 indicated that Plaintiff's symptoms were "getting under control." (Def.'s Statement of
27 Undisputed Facts Ex. C 95.)
- 28 51. On October 1, 2002, Plaintiff was examined in the neurology clinic at CSP for continued

burning sensation in his left hand. (Def.'s Statement of Undisputed Facts Ex. C 94.)

While his left hand was swollen, Plaintiff's pulses remained normal. (Id.)

52. On October 17, 2002, Defendant Dr. Friedman examined Plaintiff. (Def.'s Statement of Undisputed Facts Ex. C 97-99.) Defendant Dr. Friedman concluded that he was suffering from a somatization of RSDS. (Id.) Defendant Dr. Friedman concluded that, other than the swollen left hand, all of Plaintiff's symptoms were psychological. (Id.) Accordingly, Defendant Dr. Friedman ordered a psychological examination for Plaintiff and advised him to follow up in two weeks. (Id.)

53. Defendant Dr. Friedman concluded a ganglion block would only be appropriate after Plaintiff's psychological condition was resolved. (Id.)

54. Plaintiff was upset by Defendant Dr. Friedman's assessment of his medical condition. (Pl.'s Dep. 88:22-89:11; Def.'s Statement of Undisputed Facts Ex. C 100.)

55. On November 26, 2002, Plaintiff was transferred to Pleasant Valley State Prison (PVSP). (Def.'s Statement of Undisputed Facts Ex. A 4.)

56. Defendants were not involved in Plaintiff's treatment at PVSP. (Pl.'s Dep. 20:9-24.)

57. Plaintiff's pain began to resolve after approximately a year and a half of treatment. (Pl.'s Dep. 107:8-25.) His condition stabilized sometime in 2003. (Id.)

58. From March 2000 to November 2002, Plaintiff was consistently examined by medical staff at CSP and treated with pain medication. (Pl.'s Dep. 14:5-9)

59. Plaintiff has received at least thirty X-rays during the course of his treatment. (Pl.'s Dep. 11:5.)

E. ANALYSIS:

1. Eighth Amendment Medical claim:

Plaintiff argues that Defendants Dr. Pendleton, Dr. Cornforth, Dr. Pineda, Dr. Friedman, Dr. Bhatt, and Dr. Yee, each denied him adequate medical treatment for his RSDS in violation of his Eighth Amendment rights.

A prisoner's claim of inadequate medical care does not constitute cruel and unusual punishment unless the mistreatment rises to the level of "deliberate indifference to serious

1 medical needs.” Estelle v. Gamble, 429 U.S. 97, 106 (1976). The “deliberate indifference”
2 standard involves both a subjective and objective element. First, the objective prong requires the
3 alleged deprivation to be “sufficiently serious.” Farmer v. Brennan, 511 U.S. 825, 834 (1994),
4 citing Wilson v. Seiter, 501 U.S. 294, 298 (1991). Second, the subjective prong requires that the
5 prison official acts with a “sufficiently culpable state of mind.” Farmer, 511 U.S. at 837. This
6 state of mind is more than mere negligence, but less than intentional conduct undertaken for the
7 very purpose of causing harm. Id. A prison official does not act in a deliberately indifferent
8 manner unless the official “knows of and disregards an excessive risk to an inmate’s health or
9 safety. Id.

10 In applying this standard, the Ninth Circuit has held that before it can be said that a
11 prisoner’s civil rights have been abridged, “the indifference to his medical needs must be
12 substantial. Mere ‘indifference,’ ‘negligence,’ or ‘medical malpractice’ will not support a cause
13 of action for an Eighth Amendment constitutional violation.” Broughton v. Cutter Laboratories,
14 622 F.2d 458, 460 (9th Cir. 1980), citing Estelle, 429 U.S. at 105-06. “[A] complaint that a
15 physician has been negligent in diagnosing or treating a medical condition does not state a valid
16 claim of medical mistreatment under the Eighth Amendment. Medical malpractice does not
17 become a constitutional violation merely because the victim is a prisoner.” Estelle, 429 U.S. at
18 106; see also Anderson v. County of Kern, 45 F.3d 1310, 1316 (9th Cir. 1995). Even gross
19 negligence is insufficient to establish deliberate indifference to serious medical needs. See Wood
20 v. Housewright, 900 F.2d 1332, 1334 (9th Cir. 1990)

21 A difference of opinion between medical professionals concerning the appropriate course
22 of treatment generally does not amount to deliberate indifference to serious medical needs. See
23 Sanchez v. Vild, 891 F.2d 240, 242 (9th Cir. 1989). To establish that a difference of opinion
24 amounted to deliberate indifference, the prisoner “must show that the course of treatment the
25 doctors chose was medically unacceptable under the circumstances” and “that they chose this
26 course in conscious disregard of an excessive risk to [the prisoner’s] health.” See Jackson v.
27 McIntosh, 90 F.3d 330, 332 (9th Cir. 1996); see also Hamilton v. Endell, 981 F.2d 1062, 1067 (9th
28 Cir. 1992) (stating that prisoner may demonstrate deliberate indifference if prison officials relied

on the contrary opinion of a non-treating physician).

a. Defendant Pendleton

Plaintiff claims that Dr. Pendleton denied his appeal for surgery “as not being medically indicated at the present time” and because it would only provide temporary relief. (Compl. 4:18.) Plaintiff claims that the delay in treatment has lead to an acute case of RSDS that can not now be treated effectively.

Defendants claim that Defendant Dr. Pendleton is entitled to summary judgment because Plaintiff’s claim is based on Defendant’s position as the Chief Medical Officer at Corcoran State Prison and not Defendant Pendleton’s personal actions. (Def.’s Mot. for Summ. J. 9.) Even assuming that there was some delay, Plaintiff does not state who was responsible for the delay. Id. Finally, assuming Defendant Pendleton caused the surgical delay, Defendant alleges he is entitled to summary judgment because there was no harm caused by the delay. Id. at 10.

The Court will address the issue of supervisory liability in a separate section below. Defendants are correct that there is no allegation in the Complaint that Dr. Pendleton was responsible for the delay. Plaintiff states that Dr. Pendleton denied his appeal for surgery as unnecessary. However, this contention constitutes a disagreement with diagnosis. A difference of opinion between the physician and the prisoner concerning the appropriate course of treatment does not amount to deliberate indifference to serious medical needs. See Jackson, 90 F.3d at 332; Franklin v. Oregon, 662 F.2d 1337, 1344 (9th Cir. 1981.)

Even assuming the Complaint stated a deliberate indifference claim against Defendant Dr. Pendleton, Plaintiff presents no evidence that the course of treatment ordered by Defendant was medically unacceptable. “A complete failure of proof concerning an essential element of the nonmoving party’s case necessarily renders all other facts immaterial.” Celotex Corp. v. Catrett, 477 U.S. 317, 323 (1986). Accordingly, The Court finds Dr. Pendleton is entitled to summary judgment on the Eighth Amendment claim.

b. Defendant Pineda

Plaintiff claims that Defendant Pineda scolded him for not getting treatment sooner and convinced him to have surgery on his hand by telling him that if he did not have the surgery he

1 could lose it. (Compl. 5.) Plaintiff also claims that Dr. Pineda failed to answer any of his
2 questions. Id.

3 Defendants contend that it is unclear why Plaintiff is suing Defendant Dr. Pineda. (Def.'s
4 Mot. for Summ. J. 10.) Further, it is undisputed that Plaintiff does not know whether Dr. Pineda
5 caused him any harm (Pl.'s Dep. 43:22-24), and since Dr. Pineda did not work for CDCR he had
6 no control over the date of Plaintiff's surgery. (Def.'s Mot. for Summ. J. 10.) Defendants also
7 claim that all of the treatment Dr. Pineda provided for Plaintiff's condition, was appropriate. Id.

8 Although Plaintiff claims that Dr. Pineda did not answer any of his questions, he does not
9 allege any facts in the Complaint or present evidence that Dr. Pineda knew of and disregarded a
10 serious risk to Plaintiff's health. The mere fact that Plaintiff was dissatisfied with the
11 explanation provided alone is insufficient to violate the Eighth Amendment. As noted above,
12 mere legal conclusions without further specification is insufficient to state a valid claim.
13 Sherman v. Yakahi, 549 F.2d 1287, 1290 (9th Cir.1977).

14 Even assuming the Complaint sufficiently alleged an Eighth Amendment claim against
15 Dr. Pineda, the evidence before the Court shows that Plaintiff was treated for his medical
16 condition on several occasions. (Undisputed Fact # 28, 29, 30, 31, 32, 33, 41, 43.) Plaintiff also
17 concedes in his deposition that he did not know whether Defendant Pineda caused him any harm.
18 (Pl.'s Dep. 43:22-24.) In light of the above, the Court finds no disputed issue of fact warranting
19 trial and Defendant Pineda is entitled to summary judgment.

20 **c. Defendant Cornforth**

21 Plaintiff claims that on January 24, 2002, Dr. Cornforth cancelled his second scheduled
22 surgery because he felt Plaintiff's condition had worsened since the first surgery, and the first
23 surgery was probably performed too late. (Compl. at 5:17-25.)

24 _____ Defendant's claim that they are unsure why Plaintiff is suing Defendant Dr. Cornforth.
25 (Def.'s Mot. for Summ. J. 10.) It is undisputed that Plaintiff does not know whether Defendant
26 Cornforth did anything wrong. (Pl. Dep. 48:9.) Defendants also claim that since Defendant Dr.
27 Cornforth did not work for CDCR, he had no control over the date of Plaintiff's epidural steroid
28 injection (Pl.'s Dep. 47:20-48:5) and therefore, could not have been the cause of the delay of the

1 initial surgery.

2 The Complaint does not allege that Dr. Cornforth delayed Plaintiff's initial surgery.
3 Plaintiff's allegation concerns the cancellation of his surgery. However, whether surgery was
4 necessary is a medical diagnosis by Dr. Cornforth. A difference of opinion between the physician
5 and the prisoner concerning the appropriate course of treatment does not amount to deliberate
6 indifference to serious medical needs. Jackson v. McIntosh, 90 F.3d 330, 332 (9th Cir. 1986);
7 Franklin v. Oregon, 662 F.2d 1337, 1344 (9th Cir. 1981.) Plaintiff's mere disagreement with Dr.
8 Cornforth's evaluation that it was not medically appropriate to perform the second surgery
9 without more is insufficient to state a deliberate indifference claim. Id.

10 Assuming that Plaintiff's Complaint did state a deliberate indifference claim against Dr.
11 Cornforth, the Defendant would be entitled to summary judgment based on the evidence before
12 the Court. Plaintiff concedes that he does not believe that Dr. Cornforth did anything wrong. (Pl.
13 Dep. 48:9.) In addition, there is no evidence that other than the fact that Dr. Cornforth cancelled
14 Plaintiff's surgery that he had the occasion to later examine Plaintiff nor is there any evidence in
15 the record that Dr. Cornforth knew of and disregarded a risk to Plaintiff's health. The cancellation
16 of the surgery was based on his diagnosis that it was unnecessary. Based on the above, The Court
17 finds that Defendant Cornforth is entitled to summary judgment.

18 **d. Defendant Friedman**

19 Plaintiff claims that numerous scheduled appointments were cancelled by Defendant Dr.
20 Friedman. (Pl. Compl. at 6:5.) Plaintiff also claims that Defendant Friedman said Plaintiff was
21 misdiagnosed by all of the other physicians because he did not have RSDS. (Id. at 6:8-11.)
22 Plaintiff also claims that Dr. Friedman suggested Plaintiff control his pain through certain
23 techniques and discontinue his pain medication. (Id. at 6:12-15.) The Complaint states that
24 Plaintiff told Dr. Friedman that he needed a higher dose of pain medication because the current
25 dosage was barely working and Plaintiff felt that he could not function without it. (Id. at 6:16-21.)
26 Plaintiff claims that months later his pain medication was discontinued due to Dr. Friedman's
27 orders. (Id. at 6:21-22.)

28 In the Motion for Summary Judgment, Defendants claim that Plaintiff's disagreement with

Defendant Dr. Friedman's course of treatment for his condition is insufficient to support an Eighth Amendment medical claim. (Def.'s Mot. for Summ. J. 11.) A difference of opinion between the physician and the prisoner concerning the appropriate course of treatment does not amount to deliberate indifference to serious medical needs. Jackson v. McIntosh, 90 F.3d 330, 332 (9th Cir. 1986); Franklin v. Oregon, 662 F.2d 1337, 1344 (9th Cir. 1981.)

The evidence before the Court is insufficient to create a triable issue of fact as to Defendant Friedman. Plaintiff has not presented evidence that Defendant's cancellation of appointments constituted deliberate indifference. Further, the mere fact that Dr. Friedman had a different diagnosis of Plaintiff's medical condition does not create a triable issue of fact. Finally, Plaintiff's complaint that Dr. Friedman did not give him a higher dose or medication or renew his prescription is again a medical diagnosis that does not give rise to an Eighth Amendment violation. Plaintiff does not allege, let alone present evidence, that the failure to renew his pain medication was medically unacceptable such that it would violate his Constitutional rights. Accordingly, the Court finds Defendant Dr. Friedman is entitled to summary judgment.

e. Defendant Bhatt

In addition to Plaintiff's supervisory liability claim against Dr. Bhatt, which will be addressed below, Plaintiff alleges Defendant Dr. Bhatt violated his Eighth Amendment right when he failed to renew Plaintiff's pain medication that had been discontinued by Dr. Friedman. (Pl.'s Dep. 68:10-17; Compl. 7:5-6.)

Defendants argue that Plaintiff cannot hold Defendant Dr. Bhatt liable for having a disagreement with Defendant Dr. Friedman about Plaintiff's diagnosis. (Def.'s Mot. for Summ. J. 11.) A difference of opinion between medical professionals concerning the appropriate course of treatment, generally does not amount to deliberate indifference to serious medical needs. See Sanchez, 891 F.2d at 242.

The Complaint also states that Defendant Dr. Bhatt took the time to explain the disease to Plaintiff and referred him to see Dr. Friedman, the pain specialist at the hospital, but that Defendant Dr. Bhatt did not renew Plaintiff's pain medication upon Plaintiff's request for renewal. (Compl. 6:24-28, 7:1-8.) Since a difference of opinion between the physician and the

prisoner concerning the appropriate course of treatment does not amount to deliberate indifference to serious medical needs, see Jackson, 90 F.3d at 332; Franklin v. Oregon, 662 F.2d 1337, 1344 (9th Cir. 1981), Plaintiff's allegation fails to state an Eighth Amendment violation.

It is undisputed that Dr. Bhatt did not renew Plaintiff's pain medication (Compl. 7:5-6.), and Plaintiff presents no evidence that Dr. Bhatt knew of and disregarded a serious risk to his health. "A complete failure of proof concerning an essential element of the nonmoving party's case necessarily renders all other facts immaterial." Celotex Corp. v. Catrett, 477 U.S. 317, 323 (1986). Accordingly, the Court finds Defendant Bhatt is entitled to summary judgment.

f. Defendant Dr. Yee

In addition to the supervisory liability claim that will be discussed later, Plaintiff claims that Dr. Yee was made aware of his medical condition and the repeated cancellation of his appointments, through the inmate grievance process. (Compl. 8:2-6.)

Defendants state that Defendant Dr. Yee had no involvement in Plaintiff's medical treatment beyond responding to the grievance concerning Plaintiff's failure to attend a medical appointment on October 26, 2000. (Def.'s Mot. for Summ. J. 11.) Thus, Defendants claim, because Plaintiff did not provide evidence that Defendant was deliberately indifferent to his medical condition, Defendant Dr. Yee is entitled to summary judgment. (Def's Mot. for Summ. J. 11.)

In its Order dismissing the Complaint with leave to amend, the Court concluded that Plaintiff alleged an Eighth Amendment medical claim against Dr. Yee in addition to other named Defendants. However, upon reviewing the Complaint, it appears that Plaintiff really only alleged a supervisory liability claim against Dr. Yee. This is made clear in Plaintiff's Deposition. (Pl.'s Depo. At 72:15-72-3.) Nonetheless, one could infer an Eighth Amendment claim from the fact that the Complaint states that Defendant Yee was made aware of Plaintiff's medical complaints via the inmate appeals process. While this might be sufficient to state a prima facie claim for relief warranting service of the Complaint, Plaintiff must present evidence on summary judgment establishing a disputed issue of material fact warranting trial.

Here, the record shows that Defendant Yee granted Plaintiff's appeal in part. The inmate

1 appeal which was denied by Defendant Yee at the Second level begins at the informal level with
2 Plaintiff's complaint that he was not given adequate explanation as to why he was given a
3 diagnosis of RSDS when he only fell down. Plaintiff requested that it be explained to him how he
4 contracted this disease, how long it was going to last, why he couldn't see Dr. Embree who made
5 the diagnosis, why is his hand swollen and why was his physical therapy cancelled. (Exh. A,
6 Compl.). Dr. Hoffman responded to Plaintiff's informal grievance and informed him that Dr.
7 Embree wanted Plaintiff to come see him. Id.

8 Plaintiff appealed the informal decision to the first level stating that the response was
9 unsatisfactory and that he had not been referred to Dr. Embree. Plaintiff asks that someone tell
10 him the truth about his problem. Id. Plaintiff's appeal was granted at the first level by Dr.
11 Thirakomen. Dr. Thirakomen noted that Plaintiff had been seen several times and that a referral
12 to a neurologist had been scheduled for him. Id.

13 However, Plaintiff chose to appeal the first level decision to the second level, stating that
14 his questions about his hand had not been answered, it was still swollen and that the yard doctor
15 did could not tell him anything. Plaintiff's grievance at the second level was granted by Dr. Yee.
16 Dr. Yee's attached letter restates Plaintiff's original request made at the informal level, that he be
17 given information about his disease, how long it would last and that he wanted to see a
18 neurologist. Id. The letter then states that Plaintiff had been scheduled to see a neurologist on
19 October 26, 2000, but that he refused the appointment. Dr. Yee then indicated in the appeal that
20 he had rescheduled the appoint for Plaintiff. Id. Plaintiff, again dissatisfied with the result,
21 appealed to the next level stating that he had "never refused treatment for this disease" and that he
22 wanted to see a specialist. Id.

23 Defendants also provide the Court with testimonial evidence wherein Plaintiff states that
24 the only reason he included Defendant Yee in this action was because he was the Chief Medical
25 Officer at the time. (Pl.'s Depo.at 772:15-73-3.)

26 As noted throughout these Findings, Plaintiff did not file an Opposition to the Motion for
27 Summary Judgment. The Court has painstakingly combed the attachments to the Complaint and
28 the other evidence provided by Defendants in order to resolve whether there exists a disputed

issue of material fact as to the Eighth Amendment claim against Defendant Yee. As noted above, to succeed on an Eighth Amendment claim, Plaintiff must show that the Defendant knew of and disregarded a serious risk to his health. Here, however, the evidence before the Court shows only that Plaintiff was dissatisfied with the lack of explanation as to how he had contracted this disease and that he had made a request to see a specialist. Dr. Yee's only connection with Plaintiff was via the inmate process wherein he responded to Plaintiff's grievance by informing him that he had rescheduled his appointment with a neurologist. There is no evidence before the Court to establish a disputed issue fact that Defendant Yee's action constituted deliberate indifference to Plaintiff's medical needs in violation of the Eighth Amendment. On the contrary, the evidence before the Court establishes that Plaintiff was dissatisfied with the lack of explanation concerning his condition and how it was contracted. Accordingly, the Court finds that Defendant Yee is entitled to summary judgment.

2. Supervisory Liability

Plaintiff's Complaint alleges that Dr. Yee, Dr. Pendleton, and Dr. Bhatt "failed to adequately supervise and train staff and put into place procedures so that Plaintiff would receive medically appropriate care." (Compl. 8:5-21.)

Supervisory personnel are generally not liable under Section 1983 for the actions of their employees under a theory of respondeat superior. See Fayle v. Stapley, 607 F.2d 858, 862 (9th Cir. 1979); Mosher v. Saalfeld, 589 F.2d 438, 441. A supervisory official may be liable under 42 U.S.C § 1983 only if he was personally involved in the constitutional deprivation, or if there is a sufficient causal connection between the superiors's wrongful conduct and the violation. Henry v. Sanchez, 923 F. Supp. 1266, 1272 (C.D. Cal. 1996). To be successful on a supervisory liability claim, Plaintiff must give evidence that supervisory Defendants either: personally participated in the alleged deprivation of constitutional rights; knew of the violations and failed to act to prevent them; or promulgated or "implemented a policy so deficient that the policy 'itself is a repudiation of constitutional rights' and is 'the moving force of the constitutional violation.'" Hansen v. Black, 885 F.2d 642, 646 (9th Cir. 1989) (*internal citations omitted*); Taylor v. List, 880 F.2d 1040, 1045 (9th Cir. 1989).

Plaintiff does not allege sufficient facts indicating that the Defendants personally

1 participated in the alleged deprivation, knew of and failed to prevent the violation or implemented
 2 a policy so deficient that the policy itself is a repudiation of his constitutional rights. Mere legal
 3 conclusions without further specification is insufficient to state a valid claim. Sherman v. Yakahi,
 4 549 F.2d 1287, 1290 (9th Cir.1977). The Court has examined the evidence before it and can find
 5 none that would establish a triable issue of fact warranting trial on the supervisory liability claim
 6 against any of the Defendants. See, Van Schaack v. Phipps, 38 Colo.App. 140, 558 P.2d 581, 585
 7 (Colo.App.1976) (*citations omitted*) “[a] judgment of dismissal for failure to state a claim upon
 8 which relief can be granted may be entered upon a motion for summary judgment”). Accordingly,
 9 Defendants are entitled to summary judgment on this claim.

10 **3. Qualified Immunity**

11 In light of the above findings that Defendants are entitled to summary judgment on the
 12 Eighth Amendment claim and the recommended dismissal of the Supervisory liability claims, the
 13 Court declines to address the issue of qualified immunity.

14 **4. American with Disabilities Act and Rehabilitation Act:**

15 Plaintiff also claims that Defendants Dr. Pendleton, Dr. Cornforth, Dr. Pineda,
 16 Dr. Friedman, Dr. Bhatt, and Dr. Yee’s conduct caused him to have an acute case of RSDS, which
 17 resulted in his denial of access to prison programs, services, and activities in violation of the
 18 Americans with Disabilities Act (§ 504). (Compl. 13:1-11.)

19 Title II of the Americans with Disabilities Act (ADA) and § 504 of the Rehabilitation Act
 20 (RA) “both prohibit discrimination on the basis of disability.” Lovell v. Chandler, 303 F.3d 1039,
 21 1052 (9th Cir. 2002). Title II of the ADA provides that “no qualified individual with a disability
 22 shall, by reason of such disability, be excluded from participation in or be denied the benefits of
 23 the services, programs, or activities of a public entity, or be subject to discrimination
 24 by such entity.” 42 U.S.C. § 12132. Section 504 of the RA provides that “no otherwise qualified
 25 individual with a disability . . . shall, solely by reason of her or his disability, be excluded from
 26 ‘the participation in, be denied the benefits of, or be subjected to discrimination under any
 27 program or activity receiving Federal financial assistance’” 29 U. S. C. § 794. Title II of the
 28 ADA and the RA apply to inmates within state prisons. Pennsylvania Dept. of Corrections v.
Yeskey, 118 S.Ct. 1952, 1955 (1998); see also Armstrong v. Wilson, 124 F.3d 1019, 1023 (9th

1 Cir. 1997); Duffy v. Riveland, 98 F.3d 447, 453-56 (9th Cir. 1996).

2 “To establish a violation of Title II of the ADA, a plaintiff must show that (1) [he] is a
3 qualified individual with a disability; (2) [he] was excluded from participation in or otherwise
4 discriminated against with regard to a public entity’s services, programs, or activities; and (3)
5 such exclusion or discrimination was by reason of [his] disability.” Lovell, 303 F.3d at 1052.
6 “To establish a violation of § 504 of the RA, a plaintiff must show that (1) [he] is handicapped
7 within the meaning of the RA; (2) [he] is otherwise qualified for the benefit or services sought; (3)
8 [he] was denied the benefit or services solely by reason of [his] handicap; and (4) the program
9 providing the benefit or services receives federal financial assistance.” Id.

10 Defendants correctly argue that because Plaintiff only names individual Defendants in his
11 Complaint and not a public entity, he cannot support a claim under the ADA. (Def’s Mot. for
12 Summ. J. 12-13.) Neither the ADA or RA provide for liability against Defendants sued in their
13 individual capacity. See Vinson v. Thomas, 288 F.3d 1145, 1155-56 (9th Cir. 2002); Alsbrook v.
14 City of Maumelle, 184 F.3d 999, 1005 n. 8 (8th Cir. 1999) (en banc); Miranda B. v. Kitzhabor, 328
15 F.3d 1181, 1188 (9th Cir. Or. 2003.)

16 Even had Plaintiff named an appropriate entity, Defendants argue that the claim is
17 defective because Plaintiff fails to allege any facts showing Defendant’s denied him any benefits
18 or discriminated against him “solely by reason” of a disability. (Def’s Mot. for Summ. J. 13:22-
19 23.)

20 Plaintiff states that “by denying Plaintiff medical care Plaintiff was not able to participate
21 and therefore denied meaningful access to programs, services and activities...” (Compl. at 12:7-
22 10.) Plaintiff’s contention is that he was physically unable to participate in the activities due to
23 his disability. Other than the conclusory statement by Plaintiff that he has been “excluded from
24 education, vocation, work credit, recreation, dining hall and other meals, yard time, visiting,
25 telephone, classification, transfer, emergency and other programs and services...”, he presents no
26 facts or evidence demonstrating how he was excluded from participation in the public entities
27 services, programs or activities on the basis of his disability. The Court finds no genuine issue of
28 material fact warranting trial and the Defendants are entitled to summary judgment.

F. CONCLUSION:

1 The Court RECOMMENDS that the Motion for Summary Judgment be GRANTED and
2 that the action be DISMISSED.

3 The Court further ORDERS that these Findings and Recommendations be submitted to the
4 United States District Court Judge assigned to this action pursuant to the provisions of 28 U.S.C.
5 § 636 (b)(1)(B) and Rule 72-304 of the Local Rules of Practice for the United States District
6 Court, Eastern District of California. Within **THIRTY (30) DAYS** after being served with a copy
7 of these Findings and Recommendations, any party may file written Objections with the Court and
8 serve a copy on all parties. Such a document should be captioned "Objections to Magistrate
9 Judge's Findings and Recommendations." Replies to the Objections shall be served and filed
10 within **TEN (10)** court days (plus three days if served by mail) after service of the Objections.
11 The Court will then review the Magistrate Judge's ruling pursuant to 28 U.S.C. § 636 (b)(1)(C).
12 The parties are advised that failure to file Objections within the specified time may waive the right
13 to appeal the Order of the District Court. Martinez v. Ylst, 951 F.2d 1153 (9th Cir. 1991).

14
15 IT IS SO ORDERED.

16 **Dated: December 19, 2006**

/s/ Sandra M. Snyder

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UNITED STATES MAGISTRATE JUDGE